

Exercising of Shareholder Rights – Information December 2021

As a result of the adoption of the “Popular Initiative against Fat-Cat Salaries” in 2014, pension funds have an obligation to exercise shareholder rights in the interests of the insured persons and to disclose how the pension funds voted. This regulation applies for public limited companies with registered office in Switzerland and whose shares are listed on a domestic or foreign stock exchange.

The Foundation Board of the PensUnit Collective Foundation has incorporated the corresponding regulations into the Art. 3.5 of the Organisational Rules or Art. 4 of the Rules on Investment.

A list of the voting record of the PensUnit Collective Foundation in the year 2021 is shown below.



Voting Report

for

Sammelstiftung PensUnit
Kauffmannweg 16
6003 Luzern

This report summarizes voting behaviour according to the following specifications:

Time period: 01.01.2021 - 31.12.2021
Agenda items: Summary of all items on the agenda, i.e. not only the items requiring a vote according to Art. 22 para. 1 ORAb.

The voting rights were exercised for the following companies:

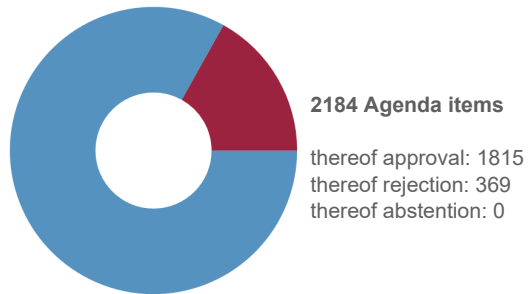
Novartis	02.03.2021	Emmi	15.04.2021
Dätwyler	09.03.2021	Swiss Re	16.04.2021
ALSO	17.03.2021	Allreal	16.04.2021
BB Biotech	18.03.2021	Sika	20.04.2021
DKSH	18.03.2021	Vetropack	21.04.2021
SGS	23.03.2021	Georg Fischer	21.04.2021
Schindler	23.03.2021	SIG Combibloc	21.04.2021
Swiss Prime Site	23.03.2021	Molecular Partners	21.04.2021
Givaudan	25.03.2021	SFS	22.04.2021
ABB	25.03.2021	u-blox	22.04.2021
Zehnder	26.03.2021	Siegfried	22.04.2021
Belimo	29.03.2021	Cembra Money Bank	22.04.2021
Bobst	30.03.2021	Flughafen Zürich	22.04.2021
Implenia	30.03.2021	Comet	22.04.2021
Mobimo	30.03.2021	Swiss Life	23.04.2021
PSP Swiss Property	31.03.2021	Alcon	28.04.2021
Swisscom	31.03.2021	APG	28.04.2021
SenioResidenz	31.03.2021	Bachem	28.04.2021
Leonteq	31.03.2021	Zur Rose	29.04.2021
Inficon	31.03.2021	V-Zug	29.04.2021
Valora	31.03.2021	Banque Cantonale Vaudoise	29.04.2021
Ina Invest	31.03.2021	Credit Suisse	30.04.2021
Schweiter Technologies	01.04.2021	Bâloise	30.04.2021
Clariant	07.04.2021	Metall Zug	30.04.2021
Zurich Insurance Group	07.04.2021	Helvetia	30.04.2021
Mobilezone	07.04.2021	Kühne + Nagel	04.05.2021
Evolva	08.04.2021	Holcim	04.05.2021
UBS	08.04.2021	Cham Group	05.05.2021
Adecco	08.04.2021	Lonza Group	06.05.2021
TX Group	09.04.2021	Swissquote	06.05.2021
Straumann	09.04.2021	Vifor Pharma	06.05.2021
Bossard	12.04.2021	Stadler Rail	06.05.2021
OC Oerlikon	13.04.2021	Interroll	07.05.2021
Zug Estates	13.04.2021	BKW	07.05.2021
Komax	14.04.2021	Vaudoise Assurances	10.05.2021
Geberit	14.04.2021	Swatch Group	11.05.2021
Sulzer	14.04.2021	Idorsia	12.05.2021
Julius Bär	14.04.2021	Galenica	12.05.2021
Nestlé	15.04.2021	Partners Group	12.05.2021
Rieter	15.04.2021	VAT Group	18.05.2021
Bucher	15.04.2021	Sensirion	18.05.2021
Kardex	15.04.2021	Dufry	18.05.2021

Valiant	19.05.2021
Poenina	19.05.2021
Temenos	20.05.2021
SoftwareONE	20.05.2021
Medacta Group	25.05.2021
Burkhalter	25.05.2021
Peach Property Group	27.05.2021
Private Equity Holding	02.06.2021
Sonova	15.06.2021
HBM Healthcare Investments	18.06.2021
LEM	24.06.2021
Romande Energie	29.06.2021
Ypsomed	30.06.2021
Dottikon ES	02.07.2021
Burckhardt Compression	02.07.2021
EMS-Chemie	07.08.2021
Klingelberg	24.08.2021
SenioResidenz	01.09.2021
Logitech	08.09.2021
Richemont	08.09.2021
Sulzer	20.09.2021
Credit Suisse	01.10.2021
dormakaba	12.10.2021
Bachem	15.10.2021
Aryzta	17.11.2021
Barry Callebaut	08.12.2021

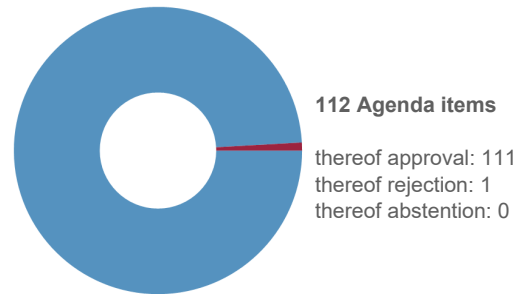
Detailed overview

■ Approval ■ Rejection ■ Abstention

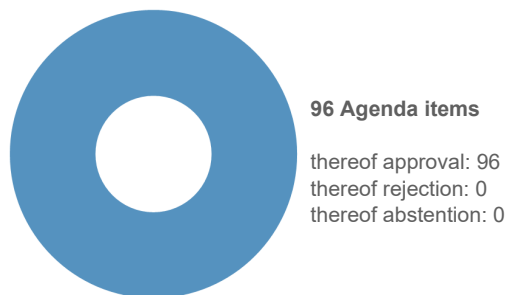
Summary



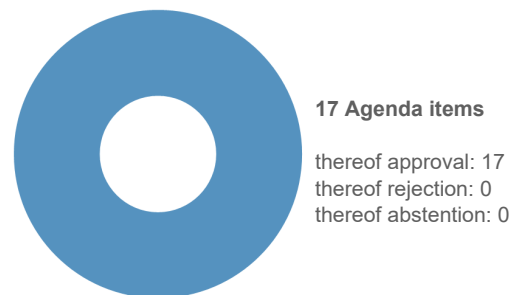
Approval of the management report and the annual and consolidated financial statements



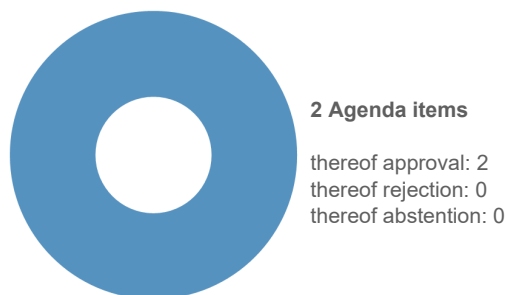
Dividend payment



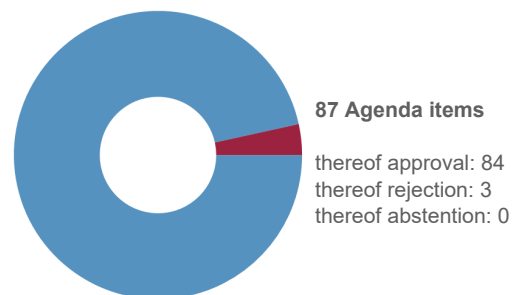
Payout from capital reserves



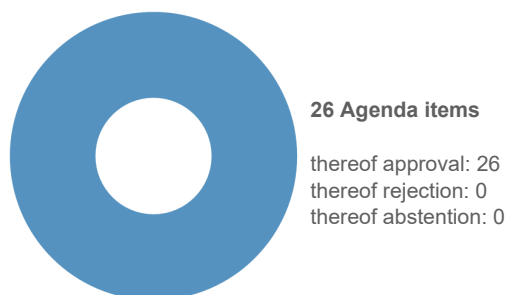
Par value reduction



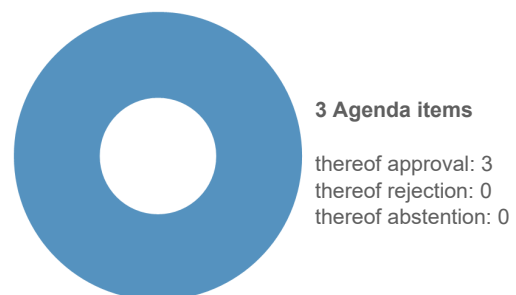
Discharge BoD/BoM



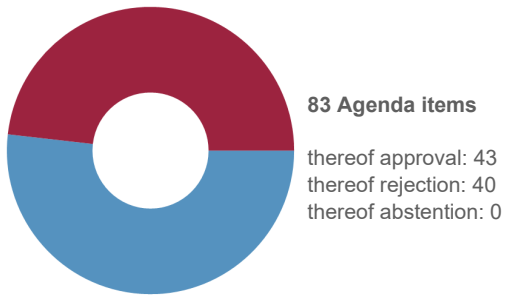
Discharge BoD



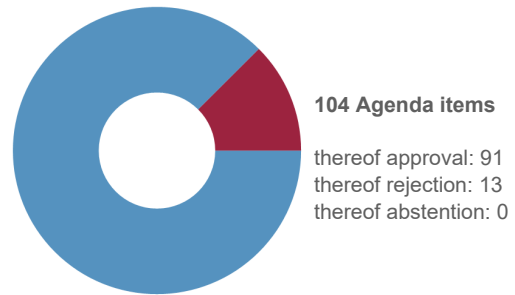
Discharge BoM



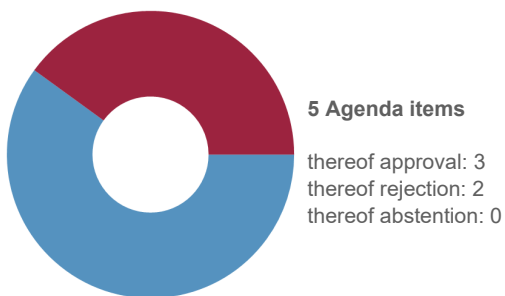
Consultative vote on compensation report



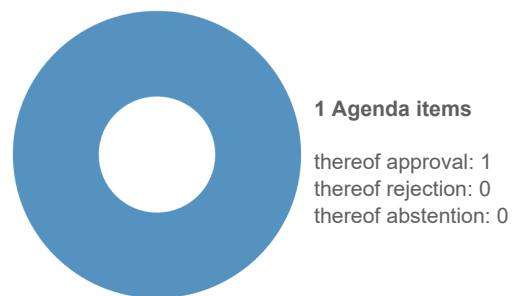
Compensation vote BoD: fixed, prospective



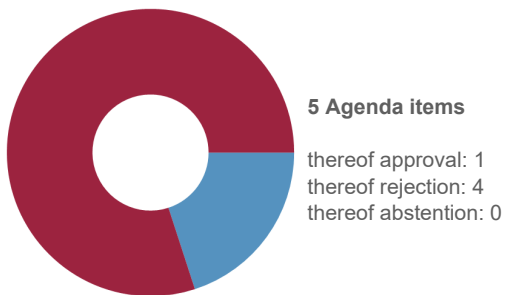
Compensation vote BoD: fixed, retrospective



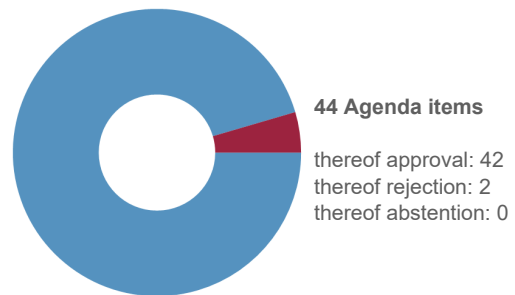
Compensation vote BoD: variable, prospective



Compensation vote BoD: variable, retrospective



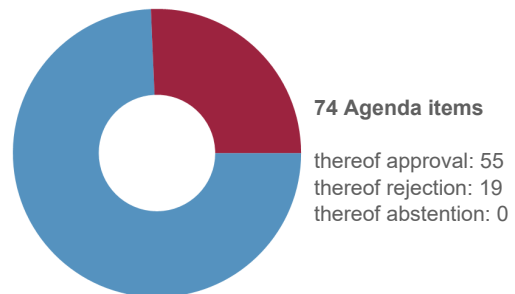
Compensation vote BoM: fixed, prospective



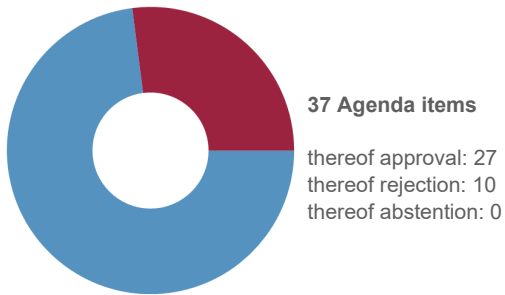
Compensation vote BoM: fixed, retrospective



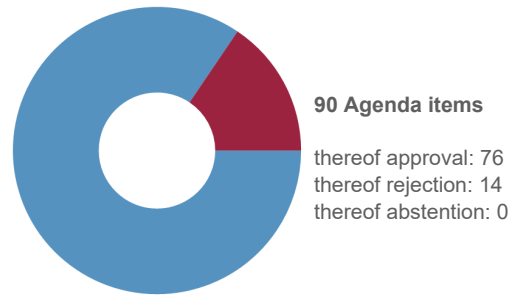
Compensation vote BoM: Variable, prospective



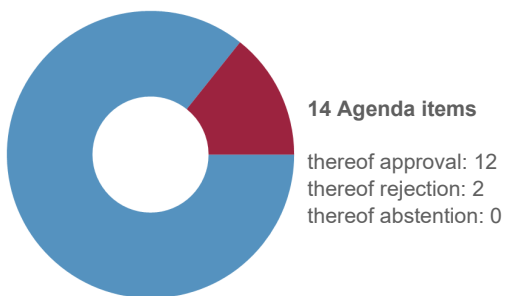
Compensation vote BoM: Variable, Retrospective



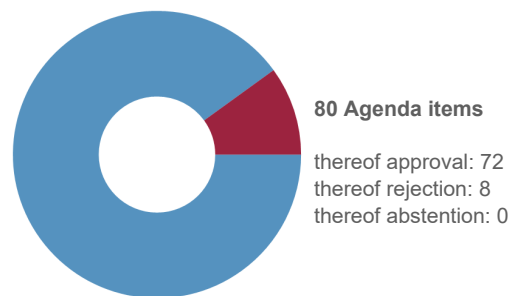
Re-election Chairman



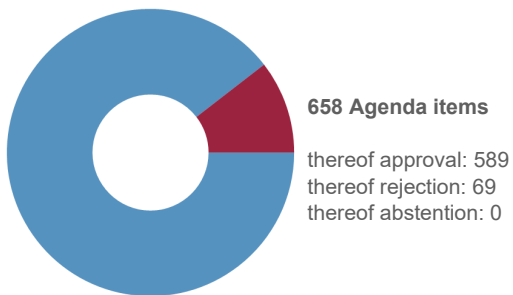
Election Chairman



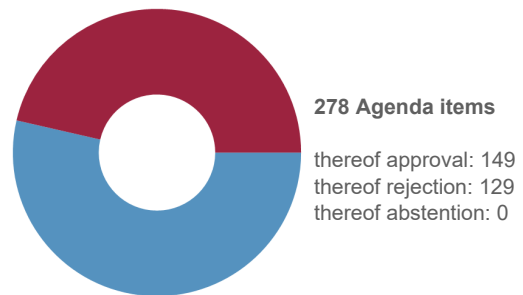
Election of new Board of Directors



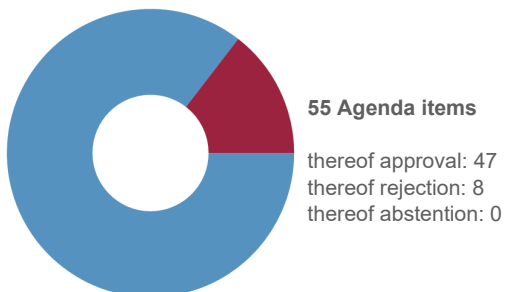
Re-election BoD



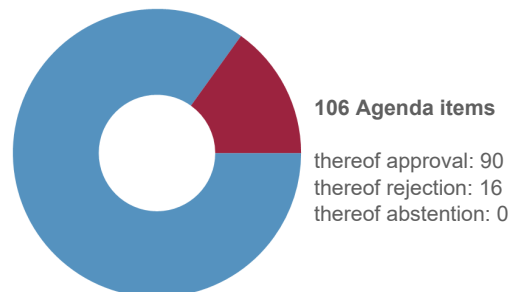
Re-election Compensation Committee



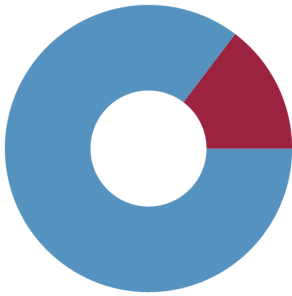
Election Compensation Committee



Election Independent Proxy

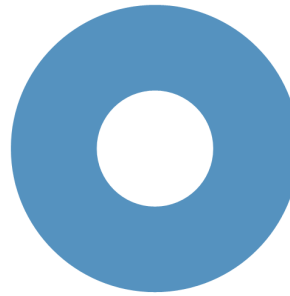


Election of auditor



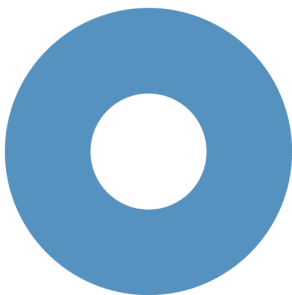
109 Agenda items
thereof approval: 93
thereof rejection: 16
thereof abstention: 0

Ordinary capital increase



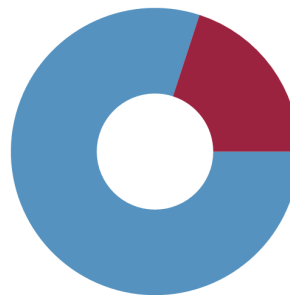
1 Agenda items
thereof approval: 1
thereof rejection: 0
thereof abstention: 0

Conditional capital: Extension



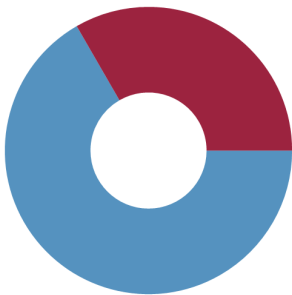
2 Agenda items
thereof approval: 2
thereof rejection: 0
thereof abstention: 0

Conditional capital: Creation



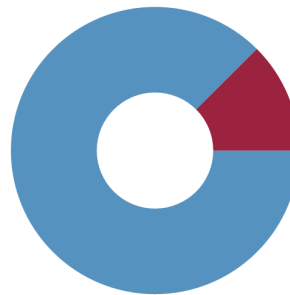
5 Agenda items
thereof approval: 4
thereof rejection: 1
thereof abstention: 0

Authorized capital: Extension



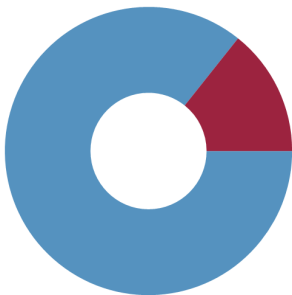
24 Agenda items
thereof approval: 16
thereof rejection: 8
thereof abstention: 0

Authorized capital: Creation



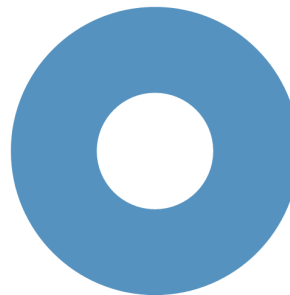
8 Agenda items
thereof approval: 7
thereof rejection: 1
thereof abstention: 0

Capital reduction



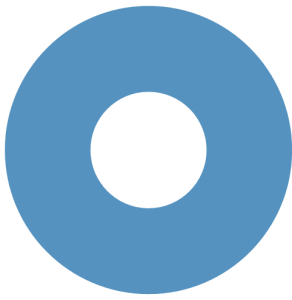
14 Agenda items
thereof approval: 12
thereof rejection: 2
thereof abstention: 0

Share buyback programme



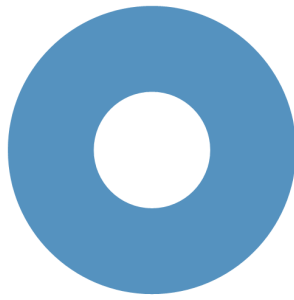
2 Agenda items
thereof approval: 2
thereof rejection: 0
thereof abstention: 0

Amendments to the Articles of Incorporation Corporate Governance



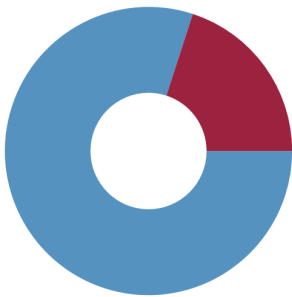
19 Agenda items
thereof approval: 19
thereof rejection: 0
thereof abstention: 0

Other amendments to the Articles of Incorporation



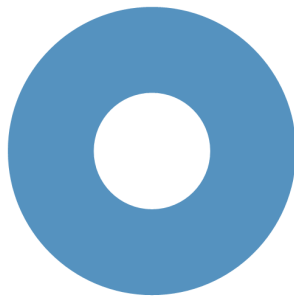
7 Agenda items
thereof approval: 7
thereof rejection: 0
thereof abstention: 0

Other topics



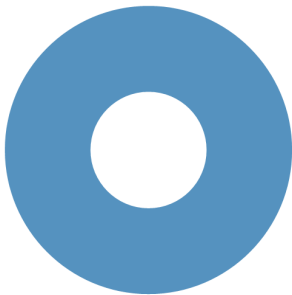
5 Agenda items
thereof approval: 4
thereof rejection: 1
thereof abstention: 0

Amendments to the Articles of Incorporation ORAb

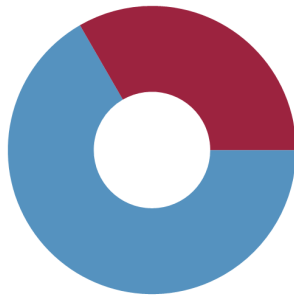


3 Agenda items
thereof approval: 3
thereof rejection: 0
thereof abstention: 0

No vote

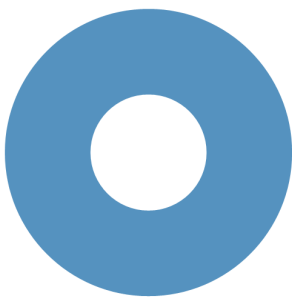


1 Agenda items
thereof approval: 1
thereof rejection: 0
thereof abstention: 0



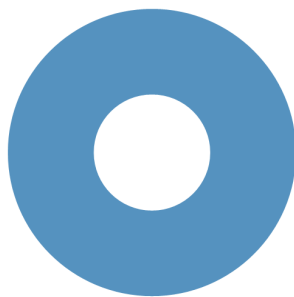
6 Agenda items
thereof approval: 4
thereof rejection: 2
thereof abstention: 0

Compensation voting Advisory Board



1 Agenda items
thereof approval: 1
thereof rejection: 0
thereof abstention: 0

Shareholder proposals: Amendments to the Articles of Incorporation Corporate Governance



2 Agenda items
thereof approval: 2
thereof rejection: 0
thereof abstention: 0